

Uniform Shipping Laws Code 2008

Section 14: Surveys and Certificates of Survey (CTH, NSW, NT, QLD, SA, TAS, VIC & WA)

This is not the official version of the Uniform Shipping Laws Code.

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PART 1—PRELIMINARY

1. This Section is to be read in conjunction with the Introduction, Definitions and General Requirements Section.
2. This Section applies to all vessels under the jurisdiction of an Authority which are required to be surveyed at intervals and to carry on board evidence of compliance with the survey requirements of that Authority.
3. In this Section, unless otherwise indicated:
 - 3.1 'authorised person or society'—
a person appointed or recognised by the Authority, or a society or body and its servants appointed or recognised by the Authority, capable by reason of special qualifications of performing surveys and inspections;
 - 3.2 'equipment'—
in relation to a vessel, includes every thing or article belonging to or to be used in connection with, or necessary for the operation of the vessel and, includes life-saving appliances, fire-fighting appliances, miscellaneous equipment, radio equipment, lights and sound signals and medical stores;
 - 3.3 'inspection'—
a visual inspection performed by an approved person;
 - 3.4 'machinery'—
means boilers, engines, pumps, electrical and ancillary equipment used in the operation of the vessel;
 - 3.5 'survey'—
a thorough examination performed by, or in the presence of a surveyor or an authorised person or society.
 - 3.6 'prescribed form'—
means a form designed for the purpose, provided by the Authority. That form is to be based on those mentioned in Appendix 1.

PART 2—SURVEY REQUIREMENTS

- A. **Initial Survey**
 4. The Authority shall require all new vessels constructed under its jurisdiction, and all existing vessels being brought under survey for the first time, to undergo an Initial Survey. The

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purpose of this survey shall be to satisfy the Authority that the vessel complies with the laws and regulations of that Authority.

5. The owner of a vessel to be constructed or of an existing vessel being brought under survey for the first time shall forward to the Authority an 'Application for Initial Survey' as prescribed by the Authority together with the appropriate survey fee.
6. An application for Initial Survey shall be accompanied by the following necessary information:
 - 6.1 type and purpose of vessel;
 - 6.2 intended area of operations;
 - 6.3 whether vessel is to be classed and if so, name of the Classification Society (for existing vessel, details of any Classification);
 - 6.4 plans and details of hull, machinery and equipment, as required by the Authority;
 - 6.5 such other information as the Authority may require; and
 - 6.6 where a vessel is, or is to be classed, the owner shall forward to the Authority only such plans and details as are relevant to the Authority's own survey and the other plans and details shall be forwarded to the Classification Society;
 - 6.7 the information in respect of a new vessel shall be forwarded prior to the commencement of construction and construction shall not begin until approval has been obtained from the Authority;
 - 6.8 the information in respect of an existing vessel shall be forwarded prior to the date of initial survey in such time as may be determined by the Authority.
7. An initial survey shall be carried out in full by the Authority's surveyors. On completion of the initial survey, the surveyors shall forward to the Authority in writing a report of the condition of the hull and its machinery and equipment and shall make a written declaration of such condition. The report and declaration shall be as prescribed by the Authority.
 - 7.1 The Authority may accept such part of the survey as performed by an authorised Society.
 - 7.2 The survey of glass reinforced plastic hulls during manufacture should be carried out in accordance with the recommended procedure set out in Appendix III.

B. Subsequent Periodic Surveys and Inspections

8. Subsequent to completion of the initial survey, the Authority shall require all vessels under its survey to undergo periodic surveys and inspections to satisfy itself that such vessels continue to comply with its laws and regulations. However the Authority may accept such part of the survey as performed by an authorised Society.
9. The intervals between surveys shall be as follows:
 - 9.1 A vessel's equipment shall be surveyed at intervals not exceeding 1 year.
 - 9.2 A vessel's hull, structure, machinery and fittings shall be surveyed at intervals specified:
 - (a) in an approved survey program; or
 - (b) in Appendix II, except that the Authority may require such other lesser intervals if it is considered desirable because of:
 - (i) the age of the vessel;
 - (ii) the service of the vessel, (passenger, cargo or fishing);
 - (iii) the area or proposed area of operation of the vessel;
 - (iv) the materials used and the method of construction;
 - (v) the type of underwater fittings;
 - (vi) the size of the vessel; or
 - (vii) any other reason.

10. An owner may submit to the Authority for its approval, a survey program for a vessel. In drawing up such a program consideration shall be given to the following:
 - 10.1 the survey intervals specified in Appendix II; or
 - 10.2 such periods as may be required by the Authority.
 11. Where an approved survey program is being followed the survey of particular items shall be carried out as detailed in such program, except where the Authority directs otherwise or agrees to modify, defer or cancel the survey program.
 12. It shall be the responsibility of the owner to make an 'Application for Periodic Survey' as prescribed by the Authority, giving such notice as is required by the Authority, and to forward such application together with the appropriate fee.
 13. It shall be the responsibility of the owner to make his vessel available for survey at the agreed time and place.
 14. Where a survey or inspection of a vessel's hull is to be carried out, the owner shall cause the vessel to be withdrawn from the water and placed in a satisfactory manner to enable the surveyor to make an external examination of the hull, underwater fittings, shafting, propeller(s), rudder(s) and any other appurtenances. Prior to such examination the hull shall have been washed and cleaned, but shall not have been painted.
 15. During the course of a survey or inspection the Authority's surveyor shall have discretion to require the opening up for examination of any other part or parts of the vessel including removal of linings and permanent ballast where applicable.
 16. After a survey or inspection the surveyor shall make as prescribed by the Authority, a duplicate List of Repairs and Deficiencies which shall be counter signed by the owner who shall retain one copy. The survey will not be completed until such repairs and/or deficiencies have been made good to the satisfaction of the surveyor.
 17. On completion of a survey or inspection a surveyor shall make his report and declaration as prescribed by the Authority.
 18. On completion of an item or stage of an approved survey program, the surveyor shall sign the owner's copy, the vessel's copy and the Authority's copy of the program documents, and shall also make a report to the Authority concerning the item or stage surveyed, and shall make a declaration concerning such report.
 19. A surveyor, when making a survey or inspection of a vessel shall be accompanied by the owner.
- C. Surveys and Inspections other than Initial and Periodic**
20. Surveyors, or authorised persons, in the execution of their duties, may board any vessel at all reasonable times to make an occasional or random inspection.
 21. The owner of a vessel shall report to the Authority any special factors which may influence the Authority's survey requirements for that vessel including any change of trade, operations and alterations to structure or machinery which have occurred since the previous survey.
 22. The owner of a vessel shall make a report to the Authority as prescribed by the Authority concerning any accident or damage to the vessel, or any death or injury to persons occurring on the vessel. Such report shall be forwarded to the Authority within 48 hours of such occurrence for a vessel in port, and, within 48 hours after the first arrival at a port, for a vessel at sea. Where any part of a vessel's hull, structure, machinery, fittings or equipment is open for routine maintenance or substantial repair, then the owner shall inform the Authority so that a survey may take place.

PART 3—CERTIFICATES

A. Issue of Certificate

23. Upon the receipt of a satisfactory Report and declaration of each surveyor performing the Initial Survey of a vessel, the Authority shall prepare a Certificate of Survey, as prescribed

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 by the Authority and Record of Survey describing the hull, machinery and equipment of the vessel.
24. The Certificate of Survey shall contain the following items:
 Name of Vessel;
 Identifying number of Vessel;
 Date of Keel-Laying or Similar Stage of Construction;
 Official Number (if registered vessel);
 Number of Passengers;
 Number of Crew;
 Class of Vessel;
 Limits of Operation(s);
 Measured Length and/or Gross Tonnage;
 Title of Issuing Authority;
 Signature of authorized officer(s);
 Period of validity from to;
 Statement that vessel complies with the survey requirements of the Authority and summary of departures from Code requirements accepted by the Authority.
- (Amendment dated 15 August 1995)*
25. The original of the Certificate of Survey shall be issued to the owner or his agent.
26. A vessel under survey to an Authority shall be required to carry evidence on board that the vessel complies with the appropriate survey requirements of that Authority.
- 26.1 This evidence shall consist of the display of the original or a copy of the Certificate of Survey
- 26.2 The evidence, if not the original or a copy of the Certificate of Survey, shall contain the following details:
 Name of Vessel;
 Identifying number of Vessel;
 Date of Keel-Laying or Similar Stage of Construction;
 Class of Vessel;
 Measured Length and/or Gross Tonnage;
 Maximum No. of Persons carried;
 Limits of operation(s);
 Issuing Authority and signature of issuing officer(s); and
 Date of expiry.
- 26.3 This evidence shall be displayed near the steering position, except on passenger vessels, where the evidence shall be displayed in such a position that it is readily visible to passengers.
- 26.4 If the Authority so requires, the evidence carried on board the vessel shall be placed in such a position on board that it shall be visible from outside the vessel.
27. The owner of a vessel shall not operate that vessel without the approval of the Authority unless:
- 27.1 A Certificate of Survey has been issued for such vessel; and
- 27.2 Evidence of compliance with the appropriate survey requirements of the Authority is displayed on board.
- B. Revalidation or Renewal of Certificate of Survey**
28. Upon the satisfactory completion of any subsequent survey of a vessel and the receipt of the Report and declaration of each surveyor, the Authority may then issue for display on the vessel either: